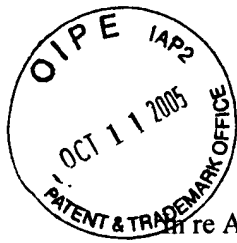


1fw



PATENT
P18437

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of)	Examiner: Not Assigned
Malpani, et al.)	
Serial No.: 10/815,349)	Art Unit: 2661
Filed: March 31, 2004)	

For: LOAD BALANCING AND FAILOVER

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

Dear Sirs:

In accordance with 37 CFR §§ 1.56, 1.97, and 1.98, enclosed please find Form PTO-1449 listing the attached references which might be deemed material to the examination of the above-identified application.

A. Non-English Language References

- ☐ Enclosed is a search report for a counterpart application. The search report Examiner has provided comments on the relevancy of any non-English language references cited in the search report.
- ☐ The specification incorporates comments on the relevancy of Non-English language references.
- ☐ Set forth below are comments provided by the applicant's home country counsel on the relevancy of non-English language references:

B. ☒ The information disclosure statement submitted herewith is being filed

- 1) within three months of the filing date of a national application other than a continued prosecution application under 1.53(d);

- 2) within three months of the date of entry into the national stage as set forth in 1.491 in an international application;
 - 3) before the mailing date of a first Office Action on the merits; or
 - 4) before the mailing date of a first Office Action after filing of a Request for Continued Examination under 1.114.
- C. ☐ The information disclosure statement transmitted herewith is being filed *after* the period specified in paragraph B, but before the mailing date of a final action under 1.113, a Notice of Allowance under 1.311, or an action otherwise closing prosecution, and is accompanied by:
- 1) a Statement under 1.97(e); or
 - 2) a fee of \$180 as set forth in 1.17(p).
- D. ☐ The information disclosure statement transmitted herewith is being filed *after* the period specified in paragraph C, but before payment of the issue fee, and is accompanied by:
- 1) a Statement under 1.97(e); and
 - 2) a fee of \$180 as set forth in 1.17(p).

STATEMENT UNDER 1.97(e)

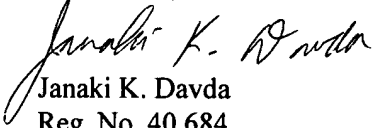
I, the person signing below, certify

- ☐ that each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(1).
- ☐ that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to

any individual designated in 1.56(c) more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(2).

If required under paragraph B or C *supra*, enclosed is Applicant's check in the amount of \$180 as set forth in 1.17(p). Please charge any insufficiency or credit any overpayment to Deposit Account No. 50-0585. A duplicate of this letter is enclosed for fee processing.

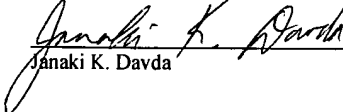
Respectfully submitted,


Janaki K. Davda
Reg. No. 40,684

10/6/05
Date

Konrad Raynes & Victor, LLP
Customer Number 24033
315 South Beverly Drive, Suite 210
Beverly Hills, CA 90035
(310) 556-7983
(310) 556-7984 (fax)

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date indicated above and is addressed to:
Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

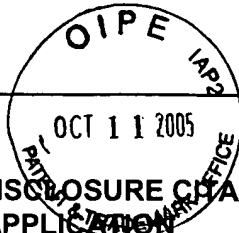

Janaki K. Davda
10/6/05
(Date)

FORM PTO-1449

**INFORMATION DISCLOSURE CITATION
IN AN APPLICATION**

(Use several sheets if necessary)

77.69


Docket Number (Optional)
P18437

Application Number
10/815,349

Applicant
Malpani, et al.

Filing Date
March 31, 2004

Group Art Unit
2661

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
					YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	PCI-SIG "PCI Specifications," www.pcisig.com , copyright 2004; 18 pp.
	Shanley, T. and D. Anderson, "PCI System Architecture, 4th edition," Mindshare, Inc., 1999; Chapters 1 & 2, 61 pp.
	"Serial ATA 1.0a Specification," Serial ATA Specs and Design Guides, www.serialata.com 2/4/03; 2 pp.
	"Serial ATA: High Speed Serialized AT Attachment," Serial ATA Workgroup rev. 1.0, 8/29/2001; 36 pp.
	"How to Get T10 Draft Standards (and other Publications)," www.t10.org , 3 pp.
	"Information Technology - SCSI Architecture Model - 2 (SAM-2)," T10 Project 1157-D, www.t10.org , 91 pp.
	Krueger, et al. "Small Computer Systems Interface protocol over the Internet (iSCSI) Requirements and Design Considerations," Network Working Group of The Internet Society, RFC 3347, (2002), 23 pp.
	Weber, et al. "Fiber Channel (FC) Frame Encapsulation," Network Working Group of The Internet Society, RFC 3643, (2003), 17 pp.
	"Transmission Control Protocol: DARPA Internet Program Protocol Specification," Information Sciences Institute for Defense Advanced Research Projects Agency, RFC 793, (Sept. 1981), 80 pp.
	"Internet Protocol: DARPA Internet Program Protocol Specification," Information Sciences Institute for Defense Advanced Research Projects Agency, RFC 791, (Sept. 1981), 44 pp.
	IEEE Std 802.11b-1999, Part 11: Wireless LAN Medium Access Control (MAC) and Physical Layer (PHY) Specifications, 9-16-1999; 17 pp.
	IEEE Std 802.3 - 2002, Part 3: Carrier sense multiple access with collision detection (CSMA/CD) access method and physical layer specifications, 3-8-2002; 33 pp.
	IEEE Std 802.11a - 1999, Part 11: Wireless LAN Medium Access Control (MAC) and Physical Layer (PHY) Specifications, 12 pp.
	IEEE Std 802.11g - 2003, Part 11: Wireless LAN Medium Access Control (MAC) and Physical Layer (PHY) Specifications, 6-27-2003; 22 pp.
	Satran, et al., "iSCSI," IP Storage Working Group for Internet Engineering Task Force, www.ietf.org ; 1-19-2003; 51 pp.
	"dpANS Fibre Channel Protocol for SCSI," X3.269-199X, Revision 12, 12-4-1995; 75 pp.

	OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>
	"dpANSI Fibre Channel Fabric Generic Requirements," X3.289-199x, Rev. 3.5, 8-7-1996; 35 pp.
	"dpANSI Fibre Channel Arbitrated Loop," www.t11.org; X3.272-199x, Rev. 4.5, 6-1-1995; 102 pp.
	"dpANS Fibre Channel Generic Services," www.t11.org; X3.288-199x, Rev. 3.1, 8-7-1996; 100 pp.
	"dpANSI Fibre Channel Framing and Signaling," www.t11.org; INCITS working draft, 4-9-2003; 106 pp.
EXAMINER	DATE CONSIDERED
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.	

PTO/SB/ 08 (10-92)
October 6, 2005

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Sheet 2 of 2